

# On a five-dimensional version of the Goldberg-Sachs theorem\*

A. Pravdová

Relativity and Gravitation - 100 Years after Einstein in Prague  
June 25-29, 2012

\*Based on [arXiv:1205.1119](https://arxiv.org/abs/1205.1119) by M. Ortaggio, V. Pravda, A.P., H. S. Reall.

- Algebraic classification of the Weyl tensor in arbitrary dimension
- NP and GHP formalism in higher dimensions
- Goldberg-Sachs theorem
  - Goldberg-Sachs theorem in 4D
  - HD “geodesic” part
  - HD “shearfree” part
    - \* type N, III
    - \* Goldberg-Sachs theorem in 5D
    - \* Examples
    - \* Optical constraint
- Conclusions

## Algebraic classification of tensors in $n$ dimensions - background

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Let us work in the **frame**

$$m_{(0)} = \ell, \quad m_{(1)} = n, \quad m_{(i)}, \quad i, j, k = 2 \dots n - 1,$$

with two *null* vectors  $n, \ell$

$$\ell^a \ell_a = n^a n_a = 0, \quad \ell^a n_a = 1, \quad a = 0 \dots n - 1$$

and  $n - 2$  *spacelike* vectors

$$m_{(i)}, \quad m_{(i)}^a m_{(j)a} = \delta_{ij}, \quad i, j, k = 2 \dots n - 1.$$

**metric**

$$g_{ab} = 2\ell_{(a} n_{b)} + \delta_{ij} m_a^{(i)} m_b^{(j)}.$$

## Algebraic classification of the Weyl tensor - algebraic classes

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*Classification of the Weyl tensor* [Coley, Milson, Pravda, P, CQG, 2004]

\* sorting Weyl components according to their *boost weight*  $b$

- under boost  $\hat{\ell} = \lambda \ell$ ,  $\hat{n} = \lambda^{-1} n$ ,  $\hat{m}^{(i)} = m^{(i)}$ :  $\hat{q} = \lambda^b q$  ( $b(\ell) = 1$ )

\* according to (non)existence of *Weyl aligned null directions (WANDs)*  
(generalization of PNDs) and their multiplicity

\* in 4D equivalent to the Petrov classification

|                | $C = (C)_{(+2)} + (C)_{(+1)} + (C)_{(0)} + (C)_{(-1)} + (C)_{(-2)}$ |                          |  |                            |                |
|----------------|---|--------------------------|--|----------------------------|----------------|
| alg. t.        | G   | I                        | II/D   | III                        | N              |
| align. t.      | (0,0)   | (1,0)                    | (2,0)/(2,2)                                      | (3,0)                      | (4,0)          |
|                |   | $\mapsto \ell$           | WAND<br>$\mapsto \ell$                           | multiple                   | WAND           |
|                |   |                          | $\mapsto$  | algebraically              | special        |
| GHP<br>compts. | $\Omega_{ij}$   | $\Psi_{ijk}$<br>$\Psi_i$ | $\Phi_{ijkl}, \Phi_{ij}$<br>$2\Phi_{ij}^A, \Phi$ | $\Psi'_{ijk}$<br>$\Psi'_i$ | $\Omega'_{ij}$ |

## Algebraic classification of the Weyl tensor - algebraic classes

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- Myers-Perry black hole (Kerr): type D in arbitrary dimension.
- Kerr-Schild spacetimes in arbitrary dimension

$$g_{ab} = \Omega \eta_{ab} - 2\mathcal{H}k_a k_b,$$

with geodetic  $k_a$  - type II or more special, includes Myers-Perry black holes and type N pp-waves.

- All VSI spacetimes (spacetimes with vanishing curvature invariants) have the Weyl and Ricci tensors of type III or more special.

- *Ricci rotation coefficients*  $L_{ab}$ ,  $N_{ab}$  and  $\overset{i}{M}_{ab}$  are defined by

$$\ell_{a;b} = L_{cd} m_a^{(c)} m_b^{(d)} \quad , \quad n_{a;b} = N_{cd} m_a^{(c)} m_b^{(d)} \quad , \quad m_{a;b}^{(i)} = \overset{i}{M}_{cd} m_a^{(c)} m_b^{(d)}$$

$$\text{e.g. } \ell_{a;b} = L_{11} \ell_a \ell_b + \textcolor{red}{L}_{10} \ell_a n_b + L_{1i} \ell_a m_b^{(i)} + L_{i1} m_a^{(i)} \ell_b + \textcolor{red}{L}_{i0} m_a^{(i)} n_b + \textcolor{red}{L}_{ij} m_a^{(i)} m_b^{(j)}$$

- $\ell$  is geodesic iff  $L_{i0} = \kappa_i = 0$
- for geodesic  $\ell$ , affinely parametrized  $L_{10} = 0$

$$L_{ij} = \rho_{ij} = \sigma_{ij} + \theta \delta_{ij} + A_{ij},$$

$$\text{shear} \quad \sigma_{ij} \equiv \rho_{(ij)} - \frac{1}{n-2} \rho_{kk} \delta_{ij}, \quad \sigma^2 \equiv \sigma_{ij} \sigma_{ji} = \ell_{(a;b)} \ell^{a;b} - \frac{1}{n-2} (\ell^a_{;a})^2,$$

$$\text{expansion} \quad \theta \equiv \frac{1}{n-2} \rho_{kk} = \frac{1}{n-2} \ell^a_{;a}$$

$$\text{twist} \quad A_{ij} \equiv \rho_{[ij]}, \quad \omega^2 \equiv -A_{ij} A_{ji} = \ell_{[a;b]} \ell^{a;b}$$

- *Ricci identities* -  $v_{a;bc} - v_{a;cb} = R_{sabc} v^s$  projections on the frame ( $v^a = \ell^a$ ,  $n^a$  and  $m_{(i)}^a$ ) - set of first order PDEs, e.g. Sachs eq. for type I (or more special) with  $R_{00} = 0$  (e.g. vacuum) and frame parallelly propagated along a geodesic congruence  $D\rho_{ij} = -\rho_{ik}\rho_{kj}$ ,  $D \equiv \ell^a \nabla_a$ .

[NP: M.Ortaggio, V. Pravda, A.P., CQG,2007; GHP: M. Durkee, V.P., A.P., H.S. Reall, CQG, 2010]

- *Bianchi identities* - projections of  $R_{ab\{cd;e\}} = R_{abcd;e} + R_{abde;c} + R_{abec;d} = 0$   
- set of first order PDEs. [NP: V.P., A.P., A. Coley, R. Milson, CQG, 2004; GHP]

### Goldberg-Sachs theorem (in 4D):

*In an Einstein spacetime (i.e.  $R_{ab} = (R/d)g_{ab}$ ), which is not conformally flat, a null vector field is a repeated principal null direction (of the Weyl tensor) if, and only if, it is geodesic and shear-free.*

$$\Psi_0 = 0 = \Psi_1 \quad \Leftrightarrow \quad \kappa = 0 = \sigma, \text{ i.e.}$$

$$\Omega_{ij} = 0 = \psi_i \quad \Leftrightarrow \quad \kappa_i = 0, \quad \rho_{33} = \rho_{22}, \quad \rho_{32} = -\rho_{23}$$

$$\rho_{ij} = b \begin{pmatrix} 1 & a \\ -a & 1 \end{pmatrix}$$

Examples:

- $a \neq 0$ : Kerr
- $a = 0$ : Schwarzschild
- $a = 0 = b$ : Kundt

## Goldberg-Sachs theorem - HD “geodetic” part

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- in HD there exist vacuum spacetimes with *non-geodetic* multiple WANDs

[Pravda, Pravdová, Ortaggio, CQG, 2007]

However:

### **Theorem - “geodetic” part of the Goldberg-Sachs theorem**

[Durkee, Reall, CQG, 2009] : *A vacuum spacetime admitting a non-geodetic multiple WAND always also admits another multiple geodetic WAND.*



**Proposition:**

*An Einstein spacetime of*

- *type N*
- *type III non-twisting*
- *type III in 5D*
- *'generic' type III*

*have the optical matrix of the form*

$$\rho_{ij} = \begin{pmatrix} b & a & 0 & \dots \\ -a & b & 0 & \dots \\ 0 & 0 & 0 & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}.$$

Note that shear of the only non-vanishing two-block in  $\rho_{ij}$  is zero and  $\rho_{ij}$  satisfies *optical constraint*

$$\rho_{ik}\rho_{jk} \propto S_{ij}.$$

This in fact holds in much more general context.

- Algebraic Bianchi eqs.:

$$2\Phi_{[jk|}^A \rho_{i|l]} - 2\Phi_{i[j} \rho_{kl]} + \Phi_{im[jk|} \rho_{m|l]} = 0$$

$$2\Phi_{[kj]} \rho_{ij} + \Phi_{ij} \rho_{kj} - \Phi_{ji} \rho_{jk} + 2\Phi_{ij} \rho_{jk} - \Phi_{ik} \rho + \Phi_{\rho ik} + \Phi_{ijkl} \rho_{jl} = 0$$

symmetric and antisymmetric parts of the second one read

$$(2\Phi_{kj} - \Phi_{jk}) S_{ij} + (2\Phi_{ij} - \Phi_{ji}) S_{jk} - \Phi_{ik}^S \rho + \Phi S_{ik} + \Phi_{ijkl} S_{jl} = 0 \quad (1)$$

$$\Phi_{jk} A_{ji} + \Phi_{ji} A_{kj} + \Phi_{ij} \rho_{jk} - \Phi_{kj} \rho_{ji} + \Phi_{ki}^A \rho + \Phi A_{ik} + \Phi_{ijkl} A_{jl} = 0 \quad (2)$$

- Differential Bianchi eqs.:

$$\begin{aligned} \flat \Phi_{ij} &= -(\Phi_{ik} + 2\Phi_{ik}^A + \Phi \delta_{ik}) \rho_{kj} \\ -\flat \Phi_{ijkl} &= 4\Phi_{ij}^A \rho_{[kl]} - 2\Phi_{[k|i} \rho_{j|l]} + 2\Phi_{[k|j} \rho_{i|l]} + 2\Phi_{ij[k|m} \rho_{m|l]} \end{aligned}$$

- Ricci (Sachs) eq.:  $\flat \rho_{ij} = -\rho_{ik} \rho_{kj}$
- New algebraic eq. obtained by differentiating (1)

$$(2\Phi_{kj} - \Phi_{jk}) \rho_{il} \rho_{jl} + (2\Phi_{ij} - \Phi_{ji}) \rho_{jl} \rho_{kl} - \Phi_{ik}^S \rho_{jl} \rho_{jl} + \Phi \rho_{il} \rho_{kl} + \Phi_{ijkl} \rho_{js} \rho_{ls} = 0.$$

**GS theorem in 5D:**

*In a 5d algebraically special Einstein spacetime that is not conformally flat, there exists a geodesic multiple WAND  $\ell$  and one can choose the orthonormal basis vectors  $m^{(i)}$  so that the optical matrix of  $\ell$  takes one of the forms*

$$i) \quad b \begin{pmatrix} 1 & a & 0 \\ -a & 1 & 0 \\ 0 & 0 & 1 + a^2 \end{pmatrix},$$

$$ii) \quad b \begin{pmatrix} 1 & a & 0 \\ -a & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix},$$

$$iii) \quad b \begin{pmatrix} 1 & a & 0 \\ -a & -a^2 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

*If the spacetime is type III or type N then the form must be ii).*

\* 6 parameters  $\rightarrow$  2 parameters

## Goldberg-Sachs theorem - HD “shearfree” part - 5D - Examples - i))

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- Case *i*) (rank 3 optical matrix)
  - non-twisting  $a = 0$ 
    - \* Robinson-Trautmann class - Schwarzschild-Tangherlini BH
  - twisting  $a \neq 0$ 
    - \* Myers-Perry black hole
    - \* all non-degenerate (i.e.  $\det \rho \neq 0$ ) Einstein Kerr-Schild metrics with Minkowski or (A)dS background
    - \* 5d Kaluza-Klein bubble obtained by analytic continuation of a singly spinning Myers-Perry solution

## Goldberg-Sachs theorem - HD “shearfree” part - 5D - Examples ii))

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- *Case ii)* (rank 2 optical matrix)
  - non-twisting  $a = 0$ 
    - \* a direct or warped product of any 4d Einstein type II Robinson-Trautmann metric (e.g. the Schwarzschild black string solution)
  - twisting  $a \neq 0$ 
    - \* rich class of Ricci-flat solutions - product of a 4d Ricci-flat algebraically special solution with a flat 5th direction, e.g. Kerr black string,
    - \* examples with non-vanishing cosmological constant - warped product of a 4d algebraically special Einstein spacetime with a 5th direction

- *Case iii)* (rank 1 optical matrix)
  - non-twisting  $a = 0$ 
    - \* non-twisting, expanding and shearing geodesic multiple WAND in  $dS_3 \times S^2$
    - \* the expanding Kaluza-Klein bubble solution (analytically continued 5d Schwarzschild) (type D),  $\Phi_{ij} = \text{diag}(-\Phi, \Phi, \Phi)$
  - twisting  $a \neq 0$ 
    - \* genuine type II ?
    - \* all type D spacetimes - known = algebraically special metrics admitting a non-geodesic multiple WANDs - two subfamilies:
      - 1) direct products  $dS_3 \times S^2$  and  $AdS_3 \times H^2$
      - 2) analytical continuation of the 5d Schwarzschild solution (e.g. the Kaluza-Klein bubble) - generalized to include a cosmological constant  $\Lambda$  and planar or hyperbolic symmetry

## Goldberg-Sachs theorem - HD “shearfree” part - type II - optical constraint

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\* Cases i), ii), and iii) for  $a = 0$  satisfy *optical constraint*  $\rho_{ik}\rho_{jk} \propto S_{ij}$ .

Optical constraint holds e.g. for

- \* Ricci-flat [Ortaggio, Pravda, P., CQG, 2009] and Einstein [Málek, Pravda, CQG, 2011] Kerr-Schild spacetimes (e.g. the Myers-Perry black hole),
- \* all asymptotically flat type II spacetimes admitting a non-degenerate ( $\det \rho \neq 0$ ) geodesic multiple WAND,
- \* all Einstein spacetimes of type N,
- \* Einstein spacetimes of type III with additional assumptions.

OC: block-diagonal form

$$\rho = \alpha \operatorname{diag} \left( 1, \dots, 1, \frac{1}{1 + \alpha^2 b_1^2} \begin{bmatrix} 1 & -\alpha b_1 \\ \alpha b_1 & 1 \end{bmatrix}, \dots, \frac{1}{1 + \alpha^2 b_\nu^2} \begin{bmatrix} 1 & -\alpha b_\nu \\ \alpha b_\nu & 1 \end{bmatrix}, 0, \dots, 0 \right).$$

Note that the symmetric part of each 2-block is proportional to a 2-dimensional identity matrix, i.e. it is “shear-free”.

Counterexample to the converse of Theorem:

5d type I<sub>i</sub> Ricci flat example: direct product of 4d type I Ricci-flat cylindrical Newman-Tamburino solution

$$ds^2 = r^2 dx^2 + x^2 dy^2 - \frac{4r}{x} du dx - 2 du dr + x^{-2} (c + \ln(r^2 x^4)) du^2, \quad c = \text{const}$$

with a flat dimension

$$l_a dx^a = du$$

- geodesic PND of 4D spacetime,  
optical matrix  $\text{diag}(b, 0)$ ,
- (**not multiple**) WAND of 5D spacetime,  
optical matrix  $\text{diag}(b, 0, 0)$ , i.e. case (iii) of Theorem with  $a = 0$ .

Therefore *the existence of a null geodesic congruence whose optical matrix takes the canonical form iii) of Theorem 1 is not a sufficient condition for the spacetime to be algebraically special.*



## Conclusions

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- Goldberg-Sachs theorem can be partially generalized to higher dimensions.
- Geodetic part: There exist algebraically special Einstein spacetimes with **non-geodetic** multiple WANDs. One can however show ([Durkee, Reall, CQG 2009]) that these spacetimes also always admit **geodetic** multiple WANDs.
- “Shearfree” part:
  - “**Generic**” type II and III and type N Weyl tensor  $\Rightarrow$  **optical constraint** holds in arbitrary dimension  $\Rightarrow \rho$  consists of **shearfree  $2 \times 2$  blocks**.
  - To study all possible special cases one has to choose a specific low dimension. For  $n = 5$  one arrives to **3 possible forms of  $\rho$** . Several examples for all these three classes are known. **While for  $n = 4$  GS theorem reduces the number of free parameters from 3 to 2, in five dimensions the reduction is from 6 to 2.**