

Three-Dimensional Gravity - review and some recent developments

Marc Henneaux

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Even though apparently trivial, Einstein theory in 3 dimensions provides unique insights into some of the conceptual issues raised by gravity.

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The purpose of this lecture will be twofold :

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(i) Explain why three-dimensional gravity is interesting in spite of its apparent triviality ;

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The purpose of this lecture will be twofold :

- (i) Explain why three-dimensional gravity is interesting in spite of its apparent triviality ;
- (ii) Discuss one recent development related to the “factorization problem” in the presence of many (≥ 2) asymptotic regions.

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Einstein theory in lower dimensions

Why three spacetime dimensions ?

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Why three spacetime dimensions ?

Einstein gravity in two dimensions is empty or inconsistent because the Einstein tensor $G_{\alpha\beta}$ identically vanishes ($G_{\mu\nu} + \Lambda g_{\mu\nu} = 0 \Leftrightarrow \Lambda g_{\mu\nu} = 0$). Can devise theories of gravity in 2D but these are very different from pure Einstein's.

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Not true in 3D!

The Einstein equations $G_{\mu\nu} + \Lambda g_{\mu\nu} = \frac{8\pi G}{c^4} T_{\mu\nu}$ in three dimensions are perfectly consistent and share the same physical concepts as in four dimensions. “Spacetime tells matter how to move, matter tells space-time how to curve.”

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The vacuum case $G_{\mu\nu} + \Lambda g_{\mu\nu} = 0$ is particularly interesting since it is the simplest.

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But perhaps too simple! In 3D, the Weyl tensor identically vanishes and the Riemann tensor reads

$$R_{\alpha\beta\gamma\delta} = R_{\alpha\gamma\beta\delta} - R_{\alpha\delta\beta\gamma} - R_{\beta\gamma\alpha\delta} + R_{\beta\delta\alpha\gamma} - \frac{R}{2} (g_{\alpha\gamma}g_{\beta\delta} - g_{\alpha\delta}g_{\beta\gamma})$$

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Using the field equations, this implies that the Riemann tensor is given by

$$R_{\alpha\beta\gamma\delta} = \Lambda (g_{\alpha\gamma}g_{\beta\delta} - g_{\alpha\delta}g_{\beta\gamma}),$$

which is exactly the curvature of anti-de Sitter space with radius of curvature $\Lambda = -\frac{1}{\ell^2}$

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So it would seem that there is only one solution, anti-de Sitter space! No local degree of freedom (no graviton).

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But is it so?

No, there exist black hole solutions (“BTZ black holes”), and these appear therefore in the simplest possible context! (Nothing else!)

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How is this possible ?

Solutions that have the same curvature as anti-de Sitter space are only locally like anti-de Sitter space but not necessarily globally so. They might be quotients of anti-de Sitter space by discrete isometry subgroups and have different global properties.

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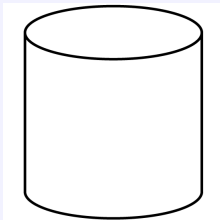
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An example from plat space



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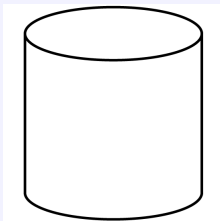
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An example from flat space



The cylinder has no curvature, like the plane.

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By making appropriate identifications of anti-de Sitter space, one can produce black hole solutions, i.e. solutions with regions from which light cannot escape (the negative cosmological constant plays the role of the “attractive force”).

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The metric reads (BTZ) :

$$ds^2 = - \left(-M + \left(\frac{r}{\ell} \right)^2 \right) dt^2 + \left(-M + \left(\frac{r}{\ell} \right)^2 \right)^{-1} dr^2 + r^2 d\varphi^2$$

Horizon at $r_+ = \ell(M)^{\frac{1}{2}}$ ($J = 0$).

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Furthermore, the BTZ black hole is at the same time very simple (few macroscopic parameters, M, J), but also very “complicated”. Like its higher-dimensional cousins, it has Hawking temperature $\frac{r_+}{2\pi\ell^2}$ and possesses an entropy $S = \frac{2\pi r_+}{4G}$.

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Could one have dreamed of a simpler context in which to investigate black hole entropy?

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Can one provide a microscopic understanding of black hole entropy?

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Can one provide a microscopic understanding of black hole entropy?

One striking feature of the black hole entropy is that it is “holographic” (proportional to the area rather than the volume).

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Anti-de Sitter space is key in the so-called gauge/gravity duality (holography, AdS/CFT).

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Anti-de Sitter space is key in the so-called gauge/gravity duality (holography, AdS/CFT).

There exists a remarkable conjectured equivalence between gravity (completed to string theory) defined on one space, and a quantum field theory without gravity defined on the conformal boundary of this space.

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The conjecture that this property holds, due to Maldacena, is not proved yet but supported by a lot of evidence. The correspondence has been formulated most precisely in the anti-de Sitter context.

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Black holes, which exhibits a holographic behaviour, play a central role in it.

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The correspondence is useful in other areas of physics (condensed matter, quark-gluon plasmas).

Conformal symmetry at infinity

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What should be expected of the dual conformal theory at infinity?

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What are the asymptotic symmetries?

The isometry of the AdS background is the finite-dimensional algebra $so(2,2)$.

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The entropy of the BTZ black hole is also holographic.

What should be expected of the dual conformal theory at infinity?

What are the asymptotic symmetries?

The isometry of the AdS background is the finite-dimensional algebra $so(2,2)$.

The asymptotic symmetries are enhanced to form the infinite-dimensional conformal algebra in two dimensions (the dimension of the boundary) !

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I.e., one gets two copies of the Virasoro algebra.

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Explicitly, in terms of Fourier modes,

$$[L_n, L_m] = -i \left((n-m)L_{n+m} + \frac{c}{12} n(n^2-1) \delta_{n+m,0} \right),$$

$$[\tilde{L}_n, \tilde{L}_m] = -i \left((n-m)\tilde{L}_{n+m} + \frac{c}{12} n(n^2-1) \delta_{n+m,0} \right),$$

$$[L_n, \tilde{L}_m] = 0.$$

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$$[L_n, \tilde{L}_m] = 0.$$

where c is the Virasoro central charge that can be computed from the bulk theory (AdS three-dimensional gravity) :

$$c = \frac{3l}{2G}.$$

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(Brown-Henneaux 1986)

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Conformal field theories in two dimensions have been much studied. One can use the whole machinery of 2D CFTs. This is one benefit of working in three dimensions, the boundary is two-dimensional!

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The existence of an infinite-dimensional symmetry algebra gives very strong constraints.

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The central charge plays a physically important role in determining the number of states with given quantum numbers in the CFT, through the so-called Cardy formula.

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Counting the number of states with given mass and angular momentum in the conformal field theory corresponding to $2 + 1$ -gravity, using the Cardy formula and the above value of the central charge, reproduces exactly Hawking entropy!

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Counting the number of states with given mass and angular momentum in the conformal field theory corresponding to 2 + 1-gravity, using the Cardy formula and the above value of the central charge, reproduces exactly Hawking entropy!

Hence, one gets a microscopic counting 'a la Boltzmann' of the BTZ black hole entropy.

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Rather one **potentially** gets a microscopic counting of the BTZ
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Rather one **potentially** gets a microscopic counting of the BTZ black hole entropy...

... because one has not identified yet what is being counted.

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Rather one **potentially** gets a microscopic counting of the BTZ black hole entropy...

... because one has not identified yet what is being counted.

Triumph should be tempered, but this result is extremely suggestive!

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Previous derivation assumed only one boundary (asymptotic region).

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Previous derivation assumed only one boundary (asymptotic region).

What happens when there are more boundaries ?

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Previous derivation assumed only one boundary (asymptotic region).

What happens when there are more boundaries ?

Does one get independent dual theories at the boundaries (“factorization”),

Factorization ?

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Conclusions

Previous derivation assumed only one boundary (asymptotic region).

What happens when there are more boundaries ?

Does one get independent dual theories at the boundaries (“factorization”),

or are these theories “entangled” (“non-factorization”) ?

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AdS₃ gravity with multiple boundaries provides a tractable example where this question can be analyzed.

One finds that the system does not “factorize” into independent theories living on the boundaries.

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AdS₃ gravity with multiple boundaries provides a tractable example where this question can be analyzed.

One finds that the system does not “factorize” into independent theories living on the boundaries.

There are additional holonomies and Wilson lines connecting the two boundaries.

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In the quantum theory, the Hilbert space will be given by the tensor product of the boundary Hilbert spaces and the Hilbert space of these global modes (with some identifications).

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Will consider here the explicit case with two boundaries, which illustrates already the main techniques and ideas.

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Will consider here the explicit case with two boundaries, which illustrates already the main techniques and ideas.

Analysis particularly transparent in the Chern-Simons formulation of 2 + 1 gravity.

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The AdS algebra in D dimensions is $so(D-1,2)$

In three dimensions, this gives $so(2,2)$.

But $so(2,2)$ is isomorphic to $so(2,1) \oplus so(2,1)$

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and $so(2, 1) \simeq sl(2, \mathbb{R})$

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and $so(2, 1) \simeq sl(2, \mathbb{R})$

so that $so(2, 2)$ is isomorphic to $sl(2, \mathbb{R}) \oplus sl(2, \mathbb{R})$.

Note : one has also $sl(2, \mathbb{R}) \simeq sp(2, \mathbb{R}) \simeq su(1, 1)$ and thus the chain of isomorphisms $so(2, 1) \simeq sl(2, \mathbb{R}) \simeq sp(2, \mathbb{R}) \simeq su(1, 1)$

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- AdS gravity can be reformulated as an $sl(2, \mathbb{R}) \oplus sl(2, \mathbb{R})$ Chern-Simons theory.

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- AdS gravity can be reformulated as an $sl(2, \mathbb{R}) \oplus sl(2, \mathbb{R})$ Chern-Simons theory.
- The action reads

$$I[A^+, A^-] = I_{CS}[A^+] - I_{CS}[A^-]$$

where A^+, A^- are connections taking values in the algebra $sl(2, \mathbb{R})$,

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- and where $I_{CS}[A]$ is the Chern-Simons action

$$I_{CS}[A] = \frac{k}{4\pi} \int_M \text{Tr} \left(A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right).$$

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$$I_{CS}[A] = \frac{k}{4\pi} \int_M \text{Tr} \left(A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right).$$

- The parameter k is related to the (2+1)-dimensional Newton constant G as $k = \ell/4G$, where ℓ is the AdS radius of curvature.

AdS pure gravity and $sl(2, \mathbb{R}) \oplus sl(2, \mathbb{R})$ Chern-Simons theory

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The relationship between the $sl(2, \mathbb{R})$ connections A^+ , A^- and the gravitational variables (dreibein and spin connection) is

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$$A_{\mu}^{+a} = \omega_{\mu}^a + \frac{1}{\ell} e_{\mu}^a \quad \text{and} \quad A_{\mu}^{-a} = \omega_{\mu}^a - \frac{1}{\ell} e_{\mu}^a,$$

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in terms of which one finds indeed

$$I[e, \omega] = \frac{1}{8\pi G} \int_M d^3x \left(\frac{1}{2} eR + \frac{e}{\ell^2} \right)$$

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The absence of local degrees of freedom manifests itself in the Chern-Simons formulation through the fact that the connection is flat,

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The absence of local degrees of freedom manifests itself in the Chern-Simons formulation through the fact that the connection is flat,

$$F = 0,$$

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The absence of local degrees of freedom manifests itself in the Chern-Simons formulation through the fact that the connection is flat,

$$F = 0,$$

which implies that one can locally set it to zero, $A = 0$, by a gauge transformation.

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The absence of local degrees of freedom manifests itself in the Chern-Simons formulation through the fact that the connection is flat,

$$F = 0,$$

which implies that one can locally set it to zero, $A = 0$, by a gauge transformation.

Note that the Chern-Simons gauge transformations enable one to go to gauges where the triad is degenerate.

$\mathfrak{sl}(2, \mathbb{R})$ conventions

The $\mathfrak{sl}(2, \mathbb{R})$ generators are noted by L_0 , L_{\pm} and they satisfy the algebra

$$[L_0, L_{\pm}] = \pm L_{\pm}, \quad [L_+, L_-] = 2L_0.$$

We use the following matrix representation of $\mathfrak{sl}(2, \mathbb{R})$

$$L_0 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad L_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad L_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

They enjoy the property that

$$\mathrm{Tr}(L_0 L_0) = \frac{1}{2}, \quad \mathrm{Tr}(L_+ L_-) = \mathrm{Tr}(L_- L_+) = 1.$$

(Chevalley-Serre basis, up to some obvious signs and normalizations)

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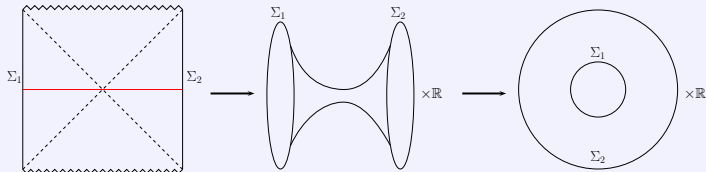
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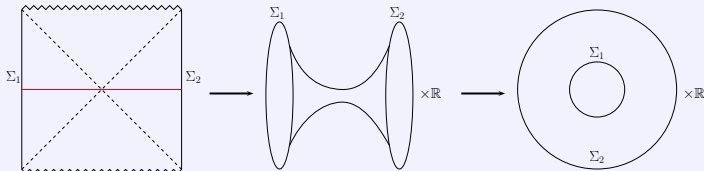
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A fixed time slice of the eternal black hole solution is an infinite cylinder, which is topologically equivalent to the annulus (wormhole). The two asymptotically AdS₃ boundaries are mapped on the two boundaries of the annulus.

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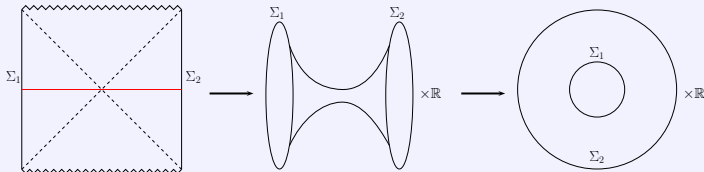
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In the Chern-Simons description, the holonomy is hyperbolic

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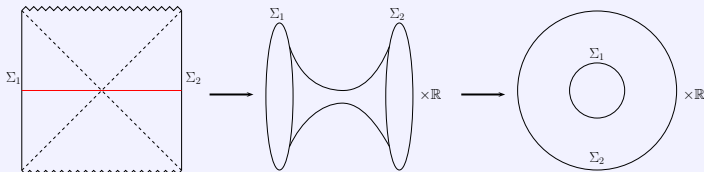
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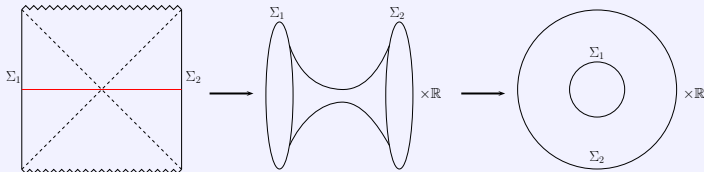
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($J = 0$ for definiteness, in which case $\mathcal{L}^+ = \mathcal{L}^-$ but same is true if $J \neq 0$.)

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The invariant metric in the Lie algebra $\mathfrak{sl}(2, \mathbb{R})$ has signature $(- + +)$.

An element is hyperbolic, parabolic or elliptic according to whether its norm squared is > 0 , $= 0$ or < 0 .

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A hyperbolic element can be written as $k_0 L_0$ for some k_0 .

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A parabolic element can be written as $k_p L_+$ for some k_p (that can in fact be absorbed).

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An elliptic element can be written as $k_e(L_- - L_+)$ for some k_e

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For the black hole,

$$A_\varphi = L_- + \frac{M}{4} L_+,$$

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 $\mathcal{P} \exp \left[\oint d\varphi A_\varphi d\varphi \right] = \exp 2\pi A_\varphi \sim \exp(k_0 L_0)$ but we shall call k_0
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Conical singularities have elliptic holonomies ($\in so(2)$).

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We consider in detail the $U(1)$ -case, which illustrates already the main idea. (Non-abelian) AdS_3 gravity explicitly treated in O. Coussaert, M. H. and P. van Driel (1995) and M. H., W. Merbis and A. Ranjbar (2020).

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We consider first the disk and then the annulus.

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The single boundary phase space is spanned by the $u(1)$
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The single boundary phase space is spanned by the $u(1)$
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The brackets of the currents are

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The brackets of the currents are

$$[j(\varphi), j(\varphi')] = \frac{k}{2\pi} \partial_\varphi(\varphi - \varphi').$$

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The currents are gauge invariant under proper gauge transformations (gauge transformations that vanish at the boundary).

Knowledge of the currents at the boundary determines completely the vector potential in the bulk up to a proper gauge transformation (complete set of observables).

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Knowledge of the currents at the boundary determines completely the vector potential in the bulk up to a proper gauge transformation (complete set of observables).

If we choose $A_- = A_t - A_\varphi = 0$ at the boundary, the dynamics is generated by the boundary Hamiltonian $\frac{1}{2} \frac{2\pi}{k} \oint j^2(\varphi) d\varphi$.

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In the case of the annulus, the holonomy $\oint d\varphi A_\varphi$ need not vanish but must be the same at the two boundaries $r = r_1$ and $r = r_2$.

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In the case of the annulus, the holonomy $\oint d\varphi A_\varphi$ need not vanish **but must be the same at the two boundaries $r = r_1$ and $r = r_2$.**

One gets two commuting $u(1)$ Kac-Moody algebras, one at each boundary,

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One gets two commuting $u(1)$ Kac-Moody algebras, one at each boundary,

$$[j(\varphi), j(\varphi')] = \frac{k}{2\pi} \partial_\varphi \delta(\varphi - \varphi'),$$

$$[m(\varphi), m(\varphi')] = \frac{k}{2\pi} \partial_\varphi \delta(\varphi - \varphi'),$$

$$[j(\varphi), m(\varphi')] = 0,$$

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In the case of the annulus, the holonomy $\oint d\varphi A_\varphi$ need not vanish but must be the same at the two boundaries $r = r_1$ and $r = r_2$.

One gets two commuting $u(1)$ Kac-Moody algebras, one at each boundary,

$$[j(\varphi), j(\varphi')] = \frac{k}{2\pi} \partial_\varphi \delta(\varphi - \varphi'),$$

$$[m(\varphi), m(\varphi')] = \frac{k}{2\pi} \partial_\varphi \delta(\varphi - \varphi'),$$

$$[j(\varphi), m(\varphi')] = 0,$$

which obey the constraint

$$\oint d\varphi j(\varphi) = \oint d\varphi m(\varphi) = k_0.$$

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$$\oint d\varphi j(\varphi) = \oint d\varphi m(\varphi) = k_0.$$

$$(j(\varphi) = \frac{k}{2\pi} A_\varphi(r = r_2, \varphi), m(\varphi) = \frac{k}{2\pi} A_\varphi(r = r_1, \varphi))$$

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The radial Wilson line $\int_{r_1}^{r_2} dr A_r$ is gauge invariant under proper gauge transformations but cannot be expressed in terms of $j(\varphi)$ and $m(\varphi)$.

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The radial Wilson line $\int_{r_1}^{r_2} dr A_r$ is gauge invariant under proper gauge transformations but cannot be expressed in terms of $j(\varphi)$ and $m(\varphi)$.

There is only one new global degree of freedom because the integrals along two different lines connecting the two boundaries differ by terms involving $j(\varphi)$ or $m(\varphi)$.

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This additional global degree of freedom is in fact conjugate to the holonomy,

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This additional global degree of freedom is in fact conjugate to the holonomy,

as can be seen by constructing the reduced phase space.

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One has in addition the holonomy and its conjugate, the radial Wilson line.

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The phase space of the theory is not given by two copies of the phase space for a disk.

One has in addition the holonomy and its conjugate, the radial Wilson line.

These explicitly connect the theories at the two boundaries,

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The phase space of the theory is not given by two copies of the phase space for a disk.

One has in addition the holonomy and its conjugate, the radial Wilson line.

These explicitly connect the theories at the two boundaries,
which are therefore not independent.

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Even though locally trivial, three-dimensional gravity with a negative cosmological constant possesses many non trivial properties.

It possesses black hole solutions (BTZ) obtained by identifications of AdS space.

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It possesses rich asymptotics,

with a symmetry algebra given by two copies of the Virasoro algebra with a non-vanishing central charge equal to $c = \frac{3l}{2G}$,

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It possesses black hole solutions (BTZ) obtained by identifications of AdS space.

It possesses rich asymptotics,

with a symmetry algebra given by two copies of the Virasoro algebra with a non-vanishing central charge equal to $c = \frac{3l}{2G}$, a fact that has been used to “derive” the 3D black hole entropy from microscopic considerations.

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Three-dimensional gravity on a space with a wormhole (BTZ black hole) provides furthermore a tractable example of a two-boundary theory where one can work out explicitly complete sets of observables.

In addition to the single-boundary observables, there is a finite number of “global observables” common to the two boundaries (holonomy)

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THANK YOU!