

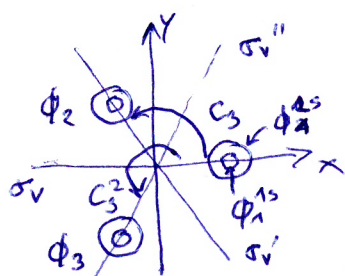
Tutorial: LCAO-MO method for H_3^+

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- it is the abbreviation for "linear combination of atomic orbitals - molecular orbitals"

- for simplicity, we will consider only 1s and 2s orbitals

and the configuration of nuclei (protons) in the shape of the equilateral triangle



- in the basis of 6 atomic orbitals

$$\{\phi_i^{1s}\}_{i=1}^3 \quad \text{and} \quad \{\phi_i^{2s}\}_{i=1}^3$$

we denote the matrix elements of the Hamiltonian H as (we assume C_{3v} symmetry)

$$\langle \phi_i^{ks} | H | \phi_j^{ks} \rangle = \begin{cases} \alpha_k & \text{for } i=j \\ \beta_k & \text{for } i \neq j \end{cases}$$

here we assume that all functions are real and thus it is not necessary to use complex conjugation

and

$$\langle \phi_i^{ks} | H | \phi_j^{ls} \rangle = \begin{cases} \gamma & \text{for } i=j, k \neq l \\ \delta & \text{for } i \neq j, k \neq l \end{cases}$$

- we get a full matrix representing H in this basis

$$H = \begin{pmatrix} \alpha_1 & \beta_1 & \beta_1 & \gamma & \delta & \delta \\ \beta_1 & \alpha_1 & \beta_1 & \delta & \gamma & \delta \\ \beta_1 & \beta_1 & \alpha_1 & \delta & \delta & \gamma \\ \gamma & \delta & \delta & \alpha_2 & \beta_2 & \beta_2 \\ \delta & \gamma & \delta & \beta_2 & \alpha_2 & \beta_2 \\ \delta & \delta & \gamma & \beta_2 & \beta_2 & \alpha_2 \end{pmatrix}$$

and

also

the overlap matrix

$$S = \begin{pmatrix} 1 & s_1 & s_1 & 0 & t & t \\ s_1 & 1 & s_1 & t & 0 & t \\ s_1 & s_1 & 1 & t & t & 0 \\ 0 & t & t & 1 & s_2 & s_2 \\ t & 0 & t & s_2 & 1 & s_2 \\ t & t & 0 & s_2 & s_2 & 1 \end{pmatrix}$$

since the atomic orbitals placed on different nuclei are not in general orthogonal (but we assume that they are orthonormalized on the same nucleus $\Rightarrow$ 1's and 0's)

- eigenstates and eigenenergies are then determined by solving time-independent Schrödinger equation $H\psi_i = E_i\psi_i$

which in the basis $\phi_1 = \phi_1^{1s}, \phi_2 = \phi_2^{1s}, \dots, \phi_6 = \phi_3^{2s}$

can be rewritten as $(\psi_i = \sum_{n=1}^6 c_n \phi_n)$ and we project it to $\langle \phi_m |$

$$\sum_{n=1}^6 (H_{mn} - E_i S_{mn}) c_n = 0$$

- this is a generalized problem of eigenvalues that has a non-trivial solution for energies given by the condition

$$\det(H - ES) = 0$$

- instead of diagonalization of this 6×6 matrix, it is advantageous to use the symmetry of the system
- we will use only the subgroup $C_{3v} \subset D_{3h}$ because 1s orbitals do not change when σ_h is applied to them and thus it is not necessary to consider the full group D_{3h}
- as we will see later, the eigenstates of H form a basis to irreducible representations of the symmetry group and thus it is more simple to find them in the symmetrized basis
- when C_{3v} acts on 1s orbitals, they mix among each other, and independently also 2s orbitals, therefore we demonstrate the symmetrization process on the triple of basis functions ϕ_1, ϕ_2, ϕ_3 (e.g. 1s orbitals) satisfying

$$T(g)\phi_i = \sum_{j=1}^3 \phi_j D_{ji}^s(g) \quad \text{for all } g \in C_{3v}$$

where the matrices $D^s(g)$ express how the s-orbitals are transformed among each other for elements of C_{3v} , particularly the column i of this matrix determines to what linear combination is transformed the orbital ϕ_i

- we get matrices (just look what happens at the figure)

$$D^s(E) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad D^s(C_3) = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \quad D^s(C_3^2) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

e.g. ϕ_1 is transformed to ϕ_2 under C_3 etc.

$$D^s(\sigma_v) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad D^s(\sigma_v') = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad D^s(\sigma_v'') = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- one can easily check that all these matrices commute with the submatrix of H of the type $\begin{pmatrix} \alpha & \beta & \beta \\ \beta & \alpha & \beta \\ \beta & \beta & \alpha \end{pmatrix}$ demonstrating thus its C_{3v} symmetry (which we assumed)

- the whole 6×6 matrix commutes with 6×6 matrices where 1s and 2s orbitals do not mix given by

$$D^{1s,2s}(g) = \begin{pmatrix} D^s(g) & 0 \\ 0 & D^s(g) \end{pmatrix}$$

- three basis functions ϕ_1, ϕ_2, ϕ_3 (1s or 2s orbitals) form a basis of a reducible representation of C_{3v} and using characters, we can decompose it into IRs of C_{3v} ,

- from the matrices we get

C_{3v}	E	$2C_3$	$3\sigma_v$
Γ^s	3	0	1

but to obtain this character, it was not necessary to construct these matrices if we realize that

- 1) if an atom is displaced under the symmetry operation (e.g. under C_3 , they are all displaced) then the corresponding orbital does not contribute to the character (0 on diagonal)
- 2) if an atom stays at the same place then the 1s orbital always contributes by 1 (p, d, ... orbitals contribute in the same way as they would be at the origin)

- using the character table for the group C_{3v} we easily find

C_{3v}	E	$2C_3$	$3\sigma_v$
A_1	1	1	1
A_2	1	1	-1
E	2	-1	0
Γ^s	3	0	1

decomposition $\Gamma^s = A_1 \oplus E$

but in general we can use the formula

$$\alpha_\mu = \frac{1}{\#G} \sum_{k=1}^{N_c} n_k \chi^\mu(c_k)^* \chi(c_k)$$

to determine how many times a certain IR Γ^μ appears in the reduc. repr. with the character $\chi(c_k)$

- we can check that

$$\alpha_{A_1}^s = \frac{1}{6} (1 \cdot 1 \cdot 3 + 2 \cdot 1 \cdot 0 + 3 \cdot 1 \cdot 1) = 1$$

$$\alpha_{A_2}^s = \frac{1}{6} (1 \cdot 1 \cdot 3 + 2 \cdot 1 \cdot 0 + 3 \cdot (-1) \cdot 1) = 0$$

$$\alpha_E^s = \frac{1}{6} (1 \cdot 2 \cdot 3 + 2 \cdot (-1) \cdot 0 + 3 \cdot 0 \cdot 1) = 1$$

- the whole 6-dim. red. repr. $\Gamma^{1s, 2s}$ then has decomposition

$$\Gamma^{1s, 2s} = 2A_1 \oplus 2E$$

as 1s and 2s orbitals transform in the same way

- to construct the symmetrized basis, we can use the symmetrization (or projection) operators, in the case of one-dimensional IRs, the situation is simple and we just use the projection operator $P^{\mu} = \frac{d_{\mu}}{\#G} \sum_{g \in G} \chi^{\mu}(g)^* T(g)$

solving for A_1

$$P^{A_1} \phi_1 = \frac{1}{6} \begin{pmatrix} E & C_3 & C_3^2 & \sigma_v & \sigma_v' & \sigma_v'' \\ 1 \cdot \phi_1 & 1 \cdot \phi_2 & 1 \cdot \phi_3 & 1 \cdot \phi_1 & 1 \cdot \phi_3 & 1 \cdot \phi_2 \end{pmatrix} = \frac{1}{3} (\phi_1 + \phi_2 + \phi_3)$$

which is a completely-symmetric combination of 1s (or 2s) orbitals

- to get an orthogonalized basis for IR E, we need matrices representing the elements of C_{3v} in this representation, such as, for example, matrices from the action of C_{3v} in (x,y) plane

$$D^E(E) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, D^E(C_3) = \begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix}, D^E(C_3^2) = \begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix}$$

$$D^E(\sigma_v) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, D^E(\sigma_v') = \begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix}, D^E(\sigma_v'') = \begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix}$$

$\sigma_v \cdot C_3 \qquad \qquad \sigma_v'' \cdot C_3^2$

then we can use the symmetrization operators

$$P_{jk}^{\mu} v = \frac{d_{\mu}}{\#G} \sum_{g \in G} D_{jk}^{\mu}(g)^* T(g) v,$$

for $k=1$ and $v=\phi_1$ we have

$$P_{11}^E \phi_1 = \frac{2}{6} \begin{pmatrix} E & C_3 & C_3^2 & \sigma_v & \sigma_v' & \sigma_v'' \\ \phi_1 - \frac{1}{2} \phi_2 - \frac{1}{2} \phi_3 + \phi_1 - \frac{1}{2} \phi_3 - \frac{1}{2} \phi_2 \end{pmatrix} = \frac{1}{3} (2\phi_1 - \phi_2 - \phi_3)$$

$$P_{21}^E \phi_1 = \frac{2}{6} \left(0 \cdot \phi_1 + \frac{\sqrt{3}}{2} \phi_2 - \frac{\sqrt{3}}{2} \phi_3 + 0 \cdot \phi_1 - \frac{\sqrt{3}}{2} \phi_3 + \frac{\sqrt{3}}{2} \phi_2 \right) = \frac{1}{\sqrt{3}} (\phi_2 - \phi_3)$$

- if we have only the characters of IRs then we can use the incomplete projection operator P^{μ} on more basis functions and then orthogonalize them

$$P^E \phi_1 = \frac{2}{6} \begin{pmatrix} E & C_3 & C_3^2 & \sigma \\ 2\phi_1 - 1 \cdot \phi_2 - 1 \cdot \phi_3 - 0 \end{pmatrix} = \frac{1}{3} (2\phi_1 - \phi_2 - \phi_3)$$

and similarly

$$P^E \phi_2 = \frac{1}{3} (2\phi_2 - \phi_3 - \phi_1), \quad P^E \phi_3 = \frac{1}{3} (2\phi_3 - \phi_1 - \phi_2)$$

- all these functions are orthogonal to $P^{A_1} \phi_1$, but they are not linearly independent and orthogonal $\Rightarrow$ Gram-Schmidt orthogonalization

- finally, we have to normalize the obtained functions

giving (up to overlaps, see below)

and similarly for 2s orbitals

$$P_{\phi_1}^{A_1} \rightarrow \psi_1^{A_1} = \frac{1}{\sqrt{3}} (\phi_1^{1s} + \phi_2^{1s} + \phi_3^{1s})$$

$$\psi_4^{A_1} = \frac{1}{\sqrt{3}} (\phi_1^{2s} + \phi_2^{2s} + \phi_3^{2s})$$

$$P_{\phi_1}^{E} \rightarrow \psi_2^E = \frac{1}{\sqrt{6}} (2\phi_1^{1s} - \phi_2^{1s} - \phi_3^{1s})$$

$$\psi_5^E = \frac{1}{\sqrt{6}} (2\phi_1^{2s} - \phi_2^{2s} - \phi_3^{2s})$$

$$P_{\phi_1}^{E} \rightarrow \psi_3^E = \frac{1}{\sqrt{2}} (\phi_2^{1s} - \phi_3^{1s})$$

$$\psi_6^E = \frac{1}{\sqrt{2}} (\phi_2^{2s} - \phi_3^{2s})$$

- one can easily check that functions belonging to different IRs are mutually orthogonal and the same is true also for pairs ψ_2^E and ψ_3^E or ψ_5^E and ψ_6^E that are transformed according to different columns of the matrix repr. E (later, we will prove this as a general result for matrix elements of invariant operators, here the operator is 11)

- but if the symmetrized functions belong to the same IR

then we set

$$\langle \psi_1^{A_1} | \psi_4^{A_1} \rangle = \frac{1}{3} \left(\langle \phi_1^{1s} | \phi_1^{2s} \rangle + \langle \phi_1^{1s} | \phi_2^{2s} \rangle + \langle \phi_1^{1s} | \phi_3^{2s} \rangle + \langle \phi_2^{1s} | \phi_1^{2s} \rangle + \langle \phi_2^{1s} | \phi_2^{2s} \rangle + \langle \phi_2^{1s} | \phi_3^{2s} \rangle + \langle \phi_3^{1s} | \phi_1^{2s} \rangle + \langle \phi_3^{1s} | \phi_2^{2s} \rangle + \langle \phi_3^{1s} | \phi_3^{2s} \rangle \right) = 2t$$

and similarly

$$\langle \psi_2^E | \psi_5^E \rangle = \langle \psi_3^E | \psi_6^E \rangle = -t$$

$$\langle \psi_1^{A_1} | \psi_1^{A_1} \rangle = 1 + 2s_1, \quad \langle \psi_2^E | \psi_2^E \rangle = \langle \psi_3^E | \psi_3^E \rangle = 1 - s_1$$

$$\langle \psi_4^{A_1} | \psi_4^{A_1} \rangle = 1 + 2s_2, \quad \langle \psi_5^E | \psi_5^E \rangle = \langle \psi_6^E | \psi_6^E \rangle = 1 - s_2$$

- in a similar way, we can evaluate the matrix elements of Hamiltonian in this basis, for example $\langle \psi_1^{A_1} | H | \psi_1^{A_1} \rangle = \frac{1}{3} (3\alpha_1 + 6\beta_1) = \alpha_1 + 2\beta_1$
 $\langle \psi_1^{A_1} | H | \psi_2^E \rangle = 0$ etc.

- eigen energies are then obtained using the determinant of the matrix

$$H^{\psi} - E S^{\psi} = \begin{pmatrix} \alpha_1 + 2\beta_1 - E(1+2s_1) & 0 & 0 & \gamma + 2\delta - E2t & 0 & 0 \\ 0 & \alpha_1 - \beta_1 - E(1-s_1) & 0 & 0 & \gamma - \delta - E(-t) & 0 \\ 0 & 0 & \alpha_1 - \beta_1 - E(1-s_1) & 0 & 0 & \gamma - \delta - E(-t) \\ \gamma + 2\delta - E2t & 0 & 0 & \alpha_2 + 2\beta_2 - E(1+2s_2) & 0 & 0 \\ 0 & \gamma - \delta - E(-t) & 0 & 0 & \alpha_2 - \beta_2 - E(1-s_2) & 0 \\ 0 & 0 & \gamma - \delta - E(-t) & 0 & 0 & \alpha_2 - \beta_2 - E(1-s_2) \end{pmatrix}$$

$\Rightarrow$ 3 independent terms for 2x2 submatrices for A_1 and twice for E

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- instead of one 6×6 matrix, it is enough to diagonalize 2 matrices 2×2 , because 2 matrices for the IR E (for pairs (ψ_2^E, ψ_5^E) and (ψ_3^E, ψ_6^E)) are the same
- if we would use only 1s orbitals, we would get directly a 3×3 matrix in the diagonal form (the first 3×3 part of the 6×6 matrix) and the eigenenergies

$$\begin{array}{ll} \text{are } E_1^{A_1} = \frac{\alpha_1 + 2\beta_1}{1 + 2s_1} & \text{for } \psi_1^{A_1} \\ E_{2,3}^E = \frac{\alpha_1 - \beta_1}{1 - s_1} & \text{for } \psi_2^E \text{ and } \psi_3^E \end{array} \left. \vphantom{\begin{array}{l} E_1^{A_1} \\ E_{2,3}^E \end{array}} \right\} \begin{array}{l} \text{labelled according} \\ \text{to the IRs of } C_{3v} \end{array}$$